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RPTO/SB/21 Approved for use through 10/31/2002. OMB 0651-0031 Rease type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/825,567 Application Number TRANSMITTAL April 15, 2004 Filing Date **FORM** First Named Inventor Quake (to be used for all correspondence after initial filing) 2872 Group Art Unit Robinson, M. A. **Examiner Name** Total Number of Pages in This Submission 7 Attorney Docket Number 20174C-013520US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1) Return receipt postcard **Terminal Disclaimer** 2) PTO/SB/08A and PTO/SB/08B forms Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Total number of pages does not include cited references. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Randolph Ted Apple Reg No. 36,429 Individual name Signature Date August 18, 2005 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: August 18, 2005 Typed or printed name Christopher R. Fitting

Date

August 18, 2005

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Signature

| Effective on 12/89/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). | | Complete if Known | | | | |
|---|--|--|--|--|--|--|
| FEE TRANSMITTAL | Application Number | 10/825,567 | | | | |
| FEE IRANSIVIIIIAL | Filing Date | April 15, 2004 | | | | |
| For FY 2005 | First Named Inventor | Quake | | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | Examiner Name | Robinson, M. A. | | | | |
| | Art Unit | 2872 | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 180.00 | Attorney Docket No. | 20174C-013520US | <u> </u> | | | |
| METHOD OF PAYMENT (check all that apply) | | | | | | |
| Check Credit Card Money Order None | | | | | | |
| Deposit Account Deposit Account Number: 20-1430 | | ne: Townsend and Town | send and Crew LLP | | | |
| For the above-identified deposit account, the Director is he | | | | | | |
| Charge fee(s) indicated below | | s) indicated below, exce | pt for the filing fee | | | |
| Charge any additional fee(s) or underpayments of fee under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information and authorization on PTO-2038 | Credit any o | verpayments luded on this form. Provi | de credit card | | | |
| FEE CALCULATION | | | | | | |
| 1. BASIC FILING, SEARCH, AND EXAMINATION FEES | DOLLETED EV | ANNINATION FEEC | | | | |
| FILING FEES SEA Small Entity | RCH FEES EX Small Entity | (AMINATION FEES Small Entity | | | | |
| Application Type Fee (\$) Fee (\$) | \$) Fee (\$) F | ee (\$) Fee (\$) | Fees Paid (\$) | | | |
| Utility 300 150 500 | | 200 100 | | | | |
| Design 200 100 100 | 50 | 130 65 | | | | |
| Plant 200 100 300 | | 160 80 | | | | |
| Reissue 300 150 500 | | 600 300 | | | | |
| Provisional 200 100 0 | 0 | 0 0 | | | | |
| 2. EXCESS CLAIM FEES Fee Description Each claim over 20 or, for Reissues, each claim over 20 an Each independent claim over 3 or, for Reissues, each indep Multiple dependent claims | d more than in the ori endent claim more th | ginal patent an in the original pat | Small Entity Fee (\$) Fee (\$) 50 25 ent 200 100 360 180 | | | |
| | e Paid (\$) M | ultiple Dependent Clai | <u>ms</u> | | | |
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| HP = highest number of total claims paid for, if greater than 20 Indep. Claims | e <u>Paid (\$)</u> | | | | | |
| -3 or HP = X \$ = | \$0 | | | | | |
| HP = highest number of independent claims paid for, if greater than 3 | | | | | | |
| 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) | | | | | | |
| 100 = / 50 = | (round up to a whole n | umber) x | _ = | | | |
| 4. OTHER FEE(S) Fees Paid (\$) | | | | | | |
| Non-English Specification, \$130 fee (no small entity discount) | | | | | | |
| Other: Information Disclosure Statement (after office action) 180.00 | | | | | | |
| SUBMITTED BY | | | | | | |
| Signature | Registration No. (Attorney/Agent) 36,42 | 29 Telephone | (650) 326-2400 | | | |
| Name (Print/Type) Randolph Ted Apple | | Date Au | gust 18, 2005 | | | |

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 20174C-013520US Client Reference No.: CIT 3575-D

Commissioner for Patents

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TOWNSEND and TOWNSEND and CREW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Quake et al.

Application No.: 10/825,567

Filed: April 15, 2004

For: MICROFABRICATED LENSES, METHOD OF MANUFACTURE THEREOF, AND APPLICATIONS

THEREOF

Examiner: Mark A. Robinson

Art Unit: 2872

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37**

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The reference cited on the attached PTO/SB/08A form is being called to the attention of the Examiner. A copy of the reference is not enclosed. It is respectfully requested that the cited reference be expressly considered during the prosecution of this application, and the reference be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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Stephen R. QUAKE et al. Application No.: 10/825,567

Page 2

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance. Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Randolph Ted Apple Reg. No. 36,429

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

RTA:crf

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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| Application Number | 10/825,567 | | | |
| Filing Date | April 15, 2004 | | | |
| First Named Inventor | Quake, Stephen R. | | | |
| Art Unit | 2872 | | | |
| Examiner Name | Mark A. Robinson | | | |
| Attorney Docket Number | 20174C-013520US | | | |

| | U.S. PATENT DOCUMENTS+ | | | | | | |
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| | | Document Number | | | | | |
| Examiner Initials* | Cite No. ¹ | Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
| | AA | US-6,369,957 | 04-09-2002 | Ishida | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| No.1 | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Applicant of Cited Document | Passages or Relevant Figures Appear | T ⁸ | |
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| Examiner Signature | Date Considered | |
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*Applicant's unique citation designation number (optional).

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| Application Number 10/825,567 | | | |
| Filing Date April 15, 2004 | | | |
| First Named Inventor Quake, Stephen R. | | | |
| Art Unit 2872 | | | |
| Examiner Name Mark A. Robinson | | | |
| Attorney Docket Number 20174C-013520US | | | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|---------------------|---|---------------------------------|---|
| Examiner Initials * | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), | | |
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1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.